

P2080.P01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b))

U.S. PTO

Attorney Docket No.

P25180

Total Pages

Inventor(s) or Application Identifier:

Kikuo IWASAKA, Tadashi KASAI, Hideo NANBA, Masaru TAKESHIGE,  
Akira SUGIYAMA, Keiji KUNII, and Masanao ABÉ

Title: FLEXIBLE TUBE FOR AN ENDOSCOPE

ADDRESS TO:

Commissioner for Patents  
Mail Stop Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450

17548 USPTO  
10/08/2001

041304

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>105</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>15</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>6</u>] a. <input type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> <u>Signed statement attached deleting inventor(s)</u> <u>named in the prior application, see 37 CFR</u> <u>1.63(d)(2) and 1.33(b).</u></p> <p>6. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p>	<p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published: <u>4</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b) (2) (B) (i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>19. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation, of Tokyo, Japan</u></p> <p>20. <input checked="" type="checkbox"/> Other: <u>6 sheets of tables; Request for Deletion of Inventors</u> <u>Pursuant to 37 C.F.R. 1.63(d)(2); Notification of</u> <u>Typographical Error in Inventor's Name and Submission</u> <u>of Application Data Sheet</u></p>
<p>21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:</p> <p><input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior Application No. <u>09/848,301</u>, filed <u>May 4, 2001</u>. <i>Prior application information: Examiner: _____ Group Art Unit: _____</i></p>	

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4-13-04

Date

Will. Z. Lydd Reg. No.  
Signature 41,568

Bruce H. Bernstein, Reg. No. 29,027  
Typed or Printed Name

13281  
041304  
U.S. PTO

180.P02

## FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Kikuo IWASAKA et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$770.00)

Attorney Docket Number P25180

## METHOD OF PAYMENT (check one)

## FEE CALCULATION (continued)

1.  The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))  Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)  Applicant Claims Small Entity Status See 37 CFR 1.27.

2.  Payment Enclosed:  
 Check  Credit Card  Money Order  Other

## FEE CALCULATION (fees effective 10/01/03)

## 1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	770	2001	385 Utility filing fee	<u>770.00</u>
1002	340	2002	170 Design filing fee	<u></u>
1003	530	2003	265 Plant filing fee	<u></u>
1004	770	2004	385 Reissue filing fee	<u></u>
1005	160	2005	80 Provisional filing fee	<u></u>

SUBTOTAL (1) (\$ 770.00)

## 2. CLAIMS

Total Claims	-20=	Extra 0	Fee from below x 18 =	Fee Paid 0.00
Independent	2	-3=	0 x 86 =	<u>0.00</u>
Claims				
Multiple Dependent Claims		0	x 290 =	<u>0.00</u>

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202	18	2202	9 Claims in excess of 20
1201	86	2201	43 Independent claims in excess of 3
1203	290	2203	145 Multiple dependent claim
1204	86	2204	43 Reissue independent claims over original patent
1205	18	2205	9 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

3. ADDITIONAL FEES	Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or oath	<u></u>	
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet.	<u></u>	
1053	130	1053	130 Non-English specification	<u></u>	
1812	2,520	1812	2,520 For filing a request for reexamination	<u></u>	
1804	920*	1804	920* Requesting publication of SIR	<u></u>	
1805	1,840*	1805	1,840* Prior to Examiner action	<u></u>	
1251	110	2251	55 Requesting publication of SIR after Examiner action	<u></u>	
1252	420	2252	210 Extension for response within 1st month	<u></u>	
1253	950	2253	475 Extension for response within 2nd month	<u></u>	
1254	1,480	2254	740 Extension for response within 3rd month	<u></u>	
1255	2,010	2255	1,005 Extension for response within 4th month	<u></u>	
1401	330	2401	165 Extension for response within 5th month	<u></u>	
1402	330	2402	165 Notice of Appeal	<u></u>	
1403	290	2403	145 Filing a brief in support of an appeal	<u></u>	
1451	1,510	1451	1,510 Request for oral hearing	<u></u>	
1452	110	2452	55 Petition to institute a public use proceeding	<u></u>	
1453	1,330	2453	665 Petition to revive unavoidably abandoned application	<u></u>	
1501	1,330	2501	665 Petition to revive unintentionally abandoned application	<u></u>	
1502	480	2502	240 Utility issue fee (or reissue)	<u></u>	
1503	640	2503	320 Design issue fee	<u></u>	
1460	130	1460	130 Petitions to the Commissioner	<u></u>	
1807	50	1807	50 Petitions related to provisional applications	<u></u>	
1806	180	1806	180 Submission of IDS	<u></u>	
8021	40	8021	40 Recording each patent assignment per property (times number of properties)	<u></u>	
1809	770	2809	385 Filing a submission after final rejection (37 CFR 1.129(a))	<u></u>	
1810	770	2810	385 For each additional invention to be examined (37 CFR 1.129(b))	<u></u>	
1801	770	2801	385 Request for Continued Examination (RCE)	<u></u>	
1802	900	1802	900 Request for expedited examination of a design application	<u></u>	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$ 0.00)

\*Reduced by Basic Filing Fee paid

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name

Bruce H. Bernstein

Reg. Number

29,027

Signature

*Will E. Lyall* *By: No.* *41,568*

Date

4-13-04

Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants :Kikuo IWASAKA et al.  
Group Art Unit : Not Yet Assigned  
Serial No : Not Yet Assigned  
(Division of U.S. Application No. 09/848,301)  
Examiner : Not Yet Assigned  
Filed : Concurrently Herewith  
For : FLEXIBLE TUBE FOR AN ENDOSCOPE

**NOTIFICATION OF TYPOGRAPHICAL ERROR IN  
INVENTOR'S NAME AND SUBMISSION OF APPLICATION DATA SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicants note that the name of the sixth listed inventor in the declaration filed in parent Application No. 09/848,301 on July 5, 2001, a copy of which is being filed in the present divisional application, includes a typographical error. The sixth listed inventor's name appears incorrectly as Akira SUIYAMA on the declaration, but should read **Akira SUGIYAMA**, which is how the sixth listed inventor signed his name on the declaration. Thus, Applicants respectfully request that the above-noted typographical error be noted on the declaration pursuant to MPEP § 201.03.

Furthermore, to ensure correct listing of the inventors, an application data sheet has been attached listing the correct inventors for the present application in view of the Request for Correction of inventorship filed concurrently herewith and the notification of the typographical error in the sixth listed inventor's name.

Although no fee is believed necessary, the Commissioner is hereby authorized to charge any necessary fee to the undersigned's Deposit Account No. 19-0089.

Should there be any questions or comments regarding the foregoing, please contact the undersigned at the below listed number.

Respectfully submitted,  
Kikuo IWASAKA et al.

*Will E. Lyall Reg. No.  
Bruce H. Bernstein 41,565  
Reg. No. 29,027*

April 5, 2004  
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